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PATENT

Case Docket No. GNE.3230R1C4

Date: September 5, 2002

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Eaton et al.
Appl. No. : 10/063,512
Filed : May 1, 2002
For : SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND
NUCLEIC ACIDS ENCODING
THE SAME
Examiner : Unknown
Group Art Unit : Unknown

) I hereby certify that this correspondence and all marked
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September 5, 2002

(Date)

AnneMarie Kaiser, Reg. No. 37,649

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with four (4) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

AnneMarie Kaiser
Registration No. 37,649
Attorney of Record



GNE.3230R1C

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant | : | Eaton et al. |) | Group Art Unit Unassigned |
| | | |) | |
| App. No. | : | 10/063,512 |) | |
| | | |) | |
| Filed | : | May 1, 2002 |) | |
| | | |) | |
| For | : | SECRETED AND |) | |
| | | TRANSMEMBRANE |) | |
| | | POLYPEPTIDES AND |) | |
| | | NUCLEIC ACIDS ENCODING |) | |
| | | THE SAME |) | |
| | | |) | |
| Examiner | : | Unknown |) | |

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

Sept. 5, 2002

By:

AnneMarie Kaiser
AnneMarie Kaiser
Registration No. 37,649
Attorney of Record
Customer No. 20,995
(619) 235-8550

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
GNE.3230R1C4APPLICATION NO.
US 10/063,512INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

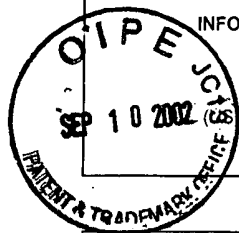
(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Eaton et al.FILING DATE
May 1, 2002GROUP
Unassigned

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|---------------------|----|-----------------|----------|--------|-------|----------|---------------------------------|
| | 1. | 5,546,637 | 07/16/97 | Jacobs | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
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EXAMINER
INITIAL

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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| | 2. | Klein et al. Selection for Genes Encoding Secreted Proteins and Receptors. <i>Proc. Natl. Acad. Sci.</i> , 93:7108-7113 (1996) |
| | 3. | Database Search, DNA Sequence Alignments [BLASTN 2.2.1[July-12-2001], NCBI] |
| | 4. | Database Search, Protein Sequence Alignments [BLASTN 2.2.1 [July-12-2001], NCBI] |
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|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| <p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p> | |